



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|---|-------------|----------------------|---------------------|------------------|
| 09/892,633 | 06/28/2001 | Randal F. Templeton | 219.40067X00 (ATSK) | 4474 |
| 7590 03/05/2007 Kenyon & Kenyon 1500 K Street, N.W. Suite 700 Washington, DC 20005-1257 | | | EXAMINER | |
| | | | TRAN, QUOC A | |
| | | | ART UNIT | PAPER NUMBER |
| | | | 2176 | |
| | | | | |
| SHORTENED STATUTORY PERIOD OF RESPONSE | | MAIL DATE | DELIVERY MODE | |
| 3 MONTHS | | 03/05/2007 | PAPER | |

Please find below and/or attached an Office communication concerning this application or proceeding.

If NO period for reply is specified above, the maximum statutory period will apply and will expire 6 MONTHS from the mailing date of this communication.

| | Application No. | Applicant(s) | | | |
|---|---|--|--|--|--|
| | 09/892,633 | TEMPLETON ET AL. | | | |
| Office Action Summary | Examiner | Art Unit | | | |
| | Tran A. Quoc | 2176 | | | |
| The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply | | | | | |
| A SHORTENED STATUTORY PERIOD FOR REPLY WHICHEVER IS LONGER, FROM THE MAILING DATE of time may be available under the provisions of 37 CFR 1.13 after SIX (6) MONTHS from the mailing date of this communication. If NO period for reply is specified above, the maximum statutory period was a failure to reply within the set or extended period for reply will, by statute any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b). | ATE OF THIS COMMUNIC 36(a). In no event, however, may a re- will apply and will expire SIX (6) MONT , cause the application to become ABA | ATION. ply be timely filed HS from the mailing date of this communication. ANDONED (35 U.S.C. § 133). | | | |
| Status | | | | | |
| 1) | action is non-final. nce except for formal matte | • | | | |
| Disposition of Claims | | | | | |
| 4) Claim(s) 1-18 is/are pending in the application. 4a) Of the above claim(s) is/are withdraw 5) Claim(s) is/are allowed. 6) Claim(s) 1-18 is/are rejected. 7) Claim(s) is/are objected to. 8) Claim(s) are subject to restriction and/o | wn from consideration. | | | | |
| Application Papers | | | | | |
| 9) The specification is objected to by the Examine 10) The drawing(s) filed on is/are: a) access Applicant may not request that any objection to the Replacement drawing sheet(s) including the correct 11) The oath or declaration is objected to by the Examine | epted or b) objected to be drawing(s) be held in abeyand tion is required if the drawing(s | ce. See 37 CFR 1.85(a). s) is objected to. See 37 CFR 1.121(d). | | | |
| Priority under 35 U.S.C. § 119 | | | | | |
| 12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). a) All b) Some * c) None of: 1. Certified copies of the priority documents have been received. 2. Certified copies of the priority documents have been received in Application No 3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)). * See the attached detailed Office action for a list of the certified copies not received. | | | | | |
| Attachment(s) 1) Notice of References Cited (PTO-892) 2) Notice of Draftsperson's Patent Drawing Review (PTO-948) 3) Information Disclosure Statement(s) (PTO/SB/08) Paper No(s)/Mail Date | Paper No(s) | ummary (PTO-413) /Mail Date formal Patent Application | | | |

Application/Control Number: 09/892,633 Page 2

Art Unit: 2176

DETAILED ACTION

1) This is a Non-final rejection in response to Remarks filed on 12-08-2006.

2) Claims 1-18 remain in the application. Claims 1, 7, 10, 13 and 16 are independent

claims.

3) Effective filing date is 6-28-2001.

Response to Argument

4). Applicant's arguments, in the Remarks filed 12-08-2006 with respect to claims 1-18 have been considered but are most in view of the new ground(s) of rejection. This office action is a Non-Final Rejection in order to give the applicant sufficient opportunity to response to the new line of rejection.

Claim Rejections - 35 USC § 103

- 5) The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
 - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 5-1) Claims 1-18 are rejected under 35 U.S.C. 103(a) as being unpatentable over of <u>Chen</u> et al US006507856B1 filed 01-05-1999 (hereinafter Chen), in view of <u>Uhler</u> et al. US US007089560B1 filed 07-24-2000 (hereinafter Uhler).

Art Unit: 2176

Regarding independent claim 1, Chen teaches a console engine to receive requests for web pages and messages to be send to web pages. Specifically, Chen discloses XML parser and DTD parser for receiving and returning a message from a browser (Chen col. 1, lines 35-50, also Col. 3, line 65 through col. 4, line 10, and Fig. 7 item 305 and 315).

Using the broadest reasonable interpretation, the Examiner reads the claimed a console engine as equivalent to XML parser as taught by Chen, and because Applicant's invention specification discloses "A console engine is used to parse a incoming XML data element" (see Applicant's invention the Abstract).

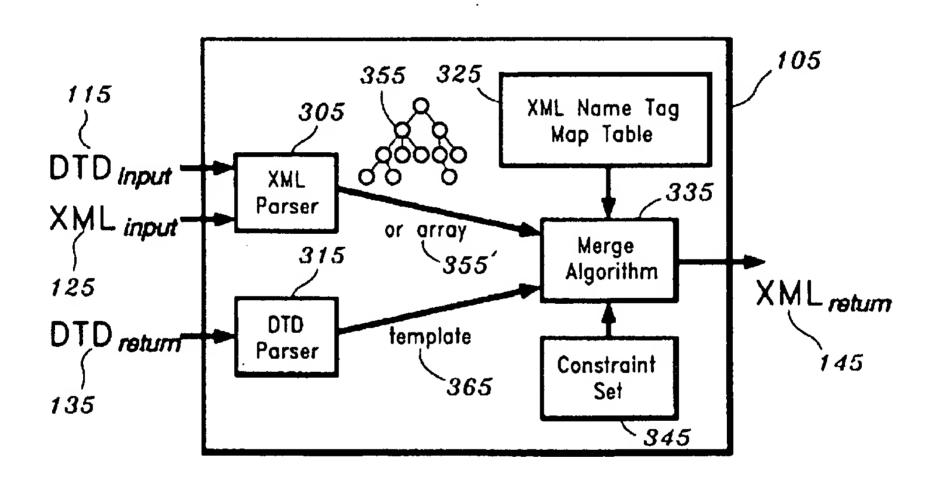
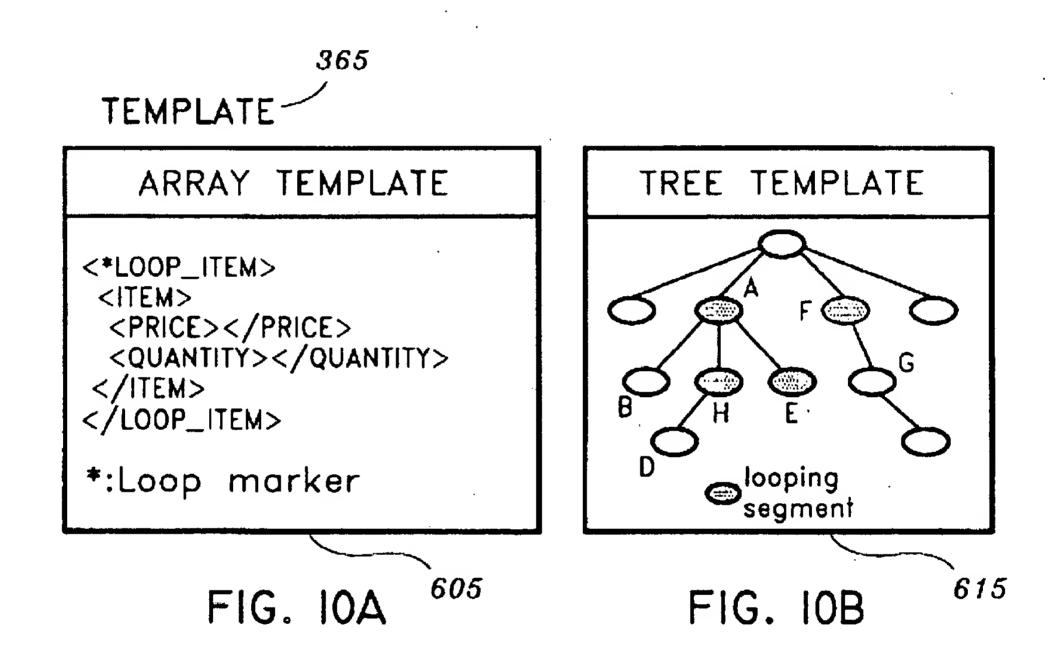


FIG. 7

In addition, Chen teaches an XML repository connected to the console engine having a plurality of parts of web pages, and the console engine is to extract a template for a web page from one of said requests Specifically, Chen discloses a standard XML parser item 305, may be a client side application, which may serialize tree elements into an array of hyper-text

Art Unit: 2176

markup language (HTML) components 355', or a <u>server side stand-alone application</u>, which takes the input XML 125 and DTD 115, and generates an intermediate structure, a tree 355 or an array 355', which serves as part of the input data to a merge algorithm 335. which may construct the tree structure 355 (See FIGS. 10A and 10B). After parsing the return document DTD 135, the DTD parser 315 creates a template 365 in either array format 605 or tree structure 615, as shown in FIGS. 10A and 10B, respectively (Chen Col. 6, lines 5-20 also fig, 10A and 10B).



Using the broadest reasonable interpretation, the Examiner reads the claimed a plurality of parts as equivalent to serialize tree elements as taught by Chen.

In addition, Chen does not expressly teach, but Uhler teaches said console engine is to retrieve at least one application handler. For example, Uhler discloses Application

Programming Interface (API) called a handler using a delegation based object model (Uhler col. 6, lines 1-10).

Art Unit: 2176

In addition, Chen does not expressly teach, but Uhler teaches a plurality of HTM/XML templates, said retrieved application handler being registered to said extracted template and said application handler to modify said template ant to generate a part of said requested web page and incorporate that part into the template to form the web page.

Specifically, Uhler discloses the filter handler uses a set of HTML/XML templates to process content and the final filter performing the XML to HTML conversion, which is consistent with the ultimate consumer of the content, and deliver to the requestor (Uhler col. 14, line 60 through col. 15, line 10). Also, Uhler discloses Application Programming Interface (API) called a handler using a delegation based object model. The handlers that provide application functionality are resolved and loaded at run time. Mechanisms are provided for composing application modules, encouraging code reuse and design. Information specific to an entire application is gathered in one place, and made available to all of the handlers, simplifying server modification and configuration (Uhler col. 6, lines 1-10).

It would have been obvious to a person of ordinary skill in the art at the time the invention was made to have modified Chen's document exchanging and merging system, includes a plurality of HTM/XML templates, said retrieved application handler being registered to said extracted template and said application handler to modify said template ant to generate a part of said requested web page and incorporate that part into the template to form the web page as taught by Uhler. One of the ordinary skill in the art would have been motivated to modify this combination, because Chen and Uhler are from the same field of endeavor of providing an architecture for creating extensible and scalable web applications, and provides a server object handler uses a set of HTML/XML templates to process content

Art Unit: 2176

and the final filter performing the XML to HTML conversion, which is consistent with the ultimate consumer of the content, and deliver to the requestor (Uhler col. 14, line 60 through col. 15, line 10).

Regarding independent claims 7 and 10, the rejection of claim 1 is fully incorporated. In addition, Chen teaches combining the plurality of parts for the web page with the template to form the web page; and transmitting the web page to the web browser for display. Specifically, Chen discloses a merging algorithm, which is implemented to merge the message with the return template for providing a return message to the browser having portions of the return template with data entered therein. (Chen Col. 1, lines 45-50).

In addition, Chen teaches accessing an XML repository for a template for the web page. Specifically, Chen discloses a standard XML parser item 305, may be a client side application, which may serialize tree elements into an array of hyper-text markup language (HTML) components 355', or a server side stand-alone application, which takes the input XML 125 and DTD 115, and generates an intermediate structure, a tree 355 or an array 355', which serves as part of the input data to a merge algorithm 335, which may construct the tree structure 355 (See FIGS. 10A and 10B). After parsing the return document DTD 135, the DTD parser 315 creates a template 365 in either array format 605 or tree structure 615, as shown in FIGS. 10A and 10B, respectively (Chen Col. 6, lines 5-20 also fig, 10A and 10B).

In addition, Chen does not expressly teach, but Uhler teaches at least one application handler that is required to modify the template. Specifically, Uhler discloses Application Programming Interface (API) called a handler using a delegation based object model (Uhler col: 6, lines 1-10). Also, Uhler discloses the filter handler uses a set of HTML/XML templates to

Art Unit: 2176

process content and the final filter performing the XML to HTML conversion, which is consistent with the ultimate consumer of the content, and deliver to the requestor (Uhler col. 14, line 60 through col. 15, line 10).

It would have been obvious to a person of ordinary skill in the art at the time the invention was made to have modified Chen's document exchanging and merging system, includes at least one application handler that is required to modify the template as taught by Uhler. One of the ordinary skill in the art would have been motivated to modify this combination, because Chen and Uhler are from the same field of endeavor of providing an architecture for creating extensible and scalable web applications, and provides a server object handler uses a set of HTML/XML templates to process content and the final filter performing the XML to HTML conversion, which is consistent with the ultimate consumer of the content, and deliver to the requestor (Uhler col. 14, line 60 through col. 15, line 10).

Regarding independent claims 13 and 16, Chen teaches receiving an incoming XML data element from a source web page, parsing the incoming XML data element based on delimiters to determine the source web page. Specifically, Chen discloses a first parser for receiving a message from a browser (Chen col. 1, lines 35-50). Also, Chen discloses a standard XML parser 305 takes the input XML 125 and DTD 115, and generates an intermediate structure, a tree 355 or an array 355', which serves as part of the input data to a merge algorithm 335. The XML parser 305 may be a client side application, which may serialize tree elements into an array of hypertext markup language (HTML) components 355', or a server side standalone application, which may construct the tree structure 355 (See FIGS. 10A and 10B). After parsing the return document DTD 135, the DTD parser 315 creates a template 365 in either array

Art Unit: 2176

format 605 or tree structure 615, as shown in FIGS. 10A and 10B, respectively (Chen col. 6, lines 5-20 also Fig. 7). Also, Chen discloses a merging algorithm, which is implemented to merge the message with the return template for providing a return message to the browser having portions of the return template with data entered therein. (Chen Col. 1, lines 45-50).

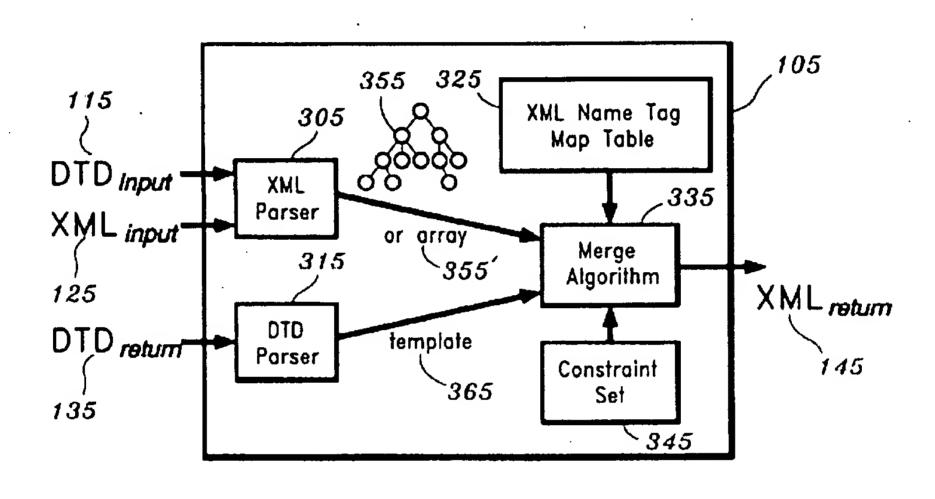
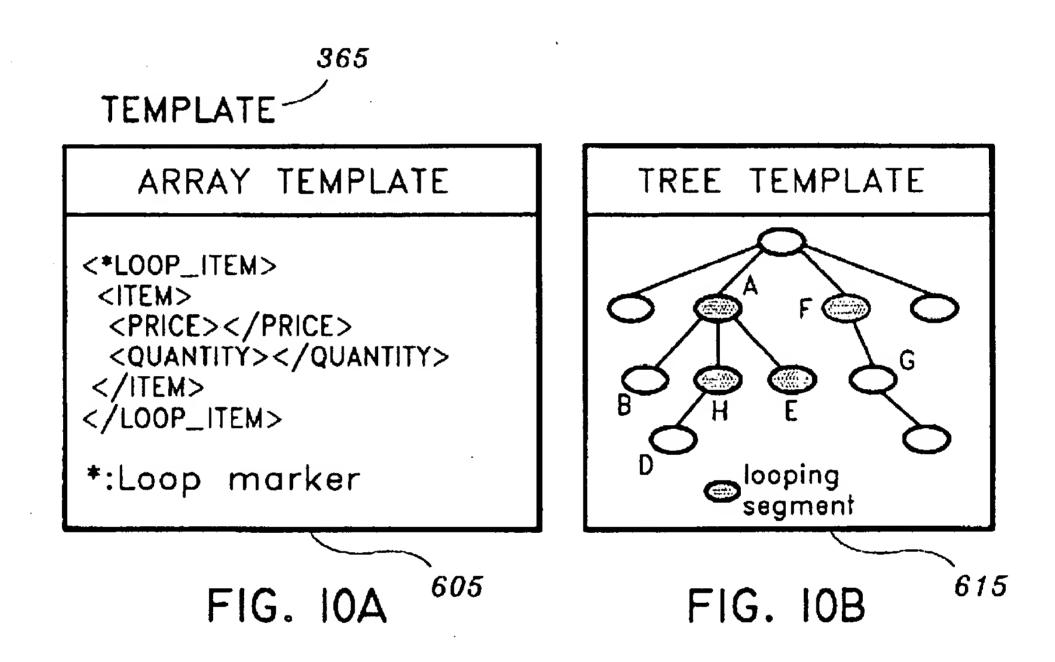


FIG. 7

In addition Chen teaches creating a pretoken from the data in the incoming XML data element; concatenating the pretoken to a token to form a modified XML data element. Specifically, Chen discloses a standard XML parser 305 takes the input XML 125 and DTD 115, and generates an intermediate structure, a tree 355 or an array 355', which serves as part of the input data to a merge algorithm 335. The XML parser 305 may be a client side application, which may serialize tree elements into an array of hypertext markup language (HTML) components 355', or a server side stand-alone application, which may construct the tree structure 355 (See FIGS. 10A and 10B). After parsing the return document DTD 135, the DTD parser 315

Art Unit: 2176

creates a template 365 in either array format 605 or tree structure 615, as shown in FIGS. 10A and 10B, respectively (Chen col. 6, lines 5-20 also Fig. 7). Also, Chen discloses a merging algorithm, which is implemented to merge the message with the return template for providing a return message to the browser having portions of the return template with data entered therein. (Chen Col. 1, lines 45-50).



In addition, Chen does not expressly teach, but Uhler teaches a destination web page, and data to be received by the destination web page, and said modified XML data clement including a template for the destination web page. For example, Uhler discloses the Request object 104 contains all of the information that pertains to client's URL request as well as methods that encapsulate the HTTP protocol (Uhler col. 7, lines 20-35). Also Uhler discloses the filter handler uses a set of HTML/XML templates to process content and the final filter performing the XML to HTML conversion, which is consistent with the ultimate consumer of the content, and

Art Unit: 2176

deliver to the requestor (Uhler col. 14, line 60 through col. 15, line 10). Also, Uhler discloses Application Programming Interface (API) called a handler using a delegation based object model. The handlers that provide application functionality are resolved and loaded at run time. Mechanisms are provided for composing application modules, encouraging code reuse and design. Information specific to an entire application is gathered in one place, and made available to all of the handlers, simplifying server modification and configuration (Uhler col. 6, lines 1-10).

It would have been obvious to a person of ordinary skill in the art at the time the invention was made to have modified Chen's parsing the incoming XML data element based on delimiters to determine the source web page, to include data to be received by the destination web, to include a destination web page, and data to be received by the destination web page, and said modified XML data clement including a template for the destination web page as taught by Uhler. One of the ordinary skill in the art would have been motivated to modify this combination, because Chen and Uhler are from the same field of endeavor of providing an architecture for creating extensible and scalable web applications, and provides a server object handler uses a set of HTML/XML templates to process content and the final filter performing the XML to HTML conversion, which is consistent with the ultimate consumer of the content, and deliver to the requestor (Uhler col. 14, line 60 through col. 15, line 10).

Regarding claim 2, Chen teaches a web browser to request the web page from the console engine and display the web page. Specifically, Chen discloses a first parser for receiving a message from a browser (Chen col. 1, lines 35-50). Also, Chen discloses a merging

Art Unit: 2176

algorithm, which is implemented to merge the message with the return template for providing a return message to the browser having portions of the return template with data entered therein. (Chen Col. 1, lines 45-50).

Regarding claims 3-4, Chen does not expressly teach, but Uhler teaches an XML repository to contain the plurality of parts of web pages, the plurality of HTML/XML templates and a plurality of said application handlers, and a console API to transmit the web page to a web browser. For example, Uhler discloses the Request object 104 contains all of the information that pertains to client's URL request as well as methods that encapsulate the HTTP protocol (Uhler col. 7, lines 20-35). Also Uhler discloses the filter handler uses a set of HTML/XML templates to process content and the final filter performing the XML to HTML conversion, which is consistent with the ultimate consumer of the content, and deliver to the requestor (Uhler col. 14, line 60 through col. 15, line 10). Also, Uhler discloses Application Programming Interface (API) called a handler using a delegation based object model. The handlers that provide application functionality are resolved and loaded at run time. Mechanisms are provided for composing application modules, encouraging code reuse and design.

Information specific to an entire application is gathered in one place, and made available to all of the handlers, simplifying server modification and configuration (Uhler col. 6, lines 1-10).

It would have been obvious to a person of ordinary skill in the art at the time the invention was made to have modified Chen's parsing the incoming XML data element based on delimiters to determine the source web page, to include data to be received by the destination web, to include an XML repository to contain the plurality of parts of web pages, the plurality of HTML/XML templates and a plurality of said application handlers as taught

Art Unit: 2176

by Uhler. One of the ordinary skill in the art would have been motivated to modify this combination, because Chen and Uhler are from the same field of endeavor of providing an architecture for creating extensible and scalable web applications, and provides a server object handler uses a set of HTML/XML templates to process content and the final filter performing the XML to HTML conversion, which is consistent with the ultimate consumer of the content, and deliver to the requestor (Uhler col. 14, line 60 through col. 15, line 10).

Regarding claim 5, Chen teaches console engine parses said message to identify delimiters contained in the message, the source web page, and data contained in the message. Specifically, Chen discloses a first parser for receiving a message from a browser (Chen col. 1, lines 35-50). Also, Chen discloses a standard XML parser 305 takes the input XML 125 and DTD 115, and generates an intermediate structure, a tree 355 or an array 355', which serves as part of the input data to a merge algorithm 335. The XML parser 305 may be a client side application, which may serialize tree elements into an array of hypertext markup language (HTML) components 355', or a server side stand-alone application, which may construct the tree structure 355 (See FIGS. 10A and 10B). After parsing the return document DTD 135, the DTD parser 315 creates a template 365 in either array format 605 or tree structure 615, as shown in FIGS. 10A and 10B, respectively (Chen col. 6, lines 5-20 also Fig. 7). Also, Chen discloses a merging algorithm, which is implemented to merge the message with the return template for providing a return message to the browser having portions of the return template with data entered therein. (Chen Col. 1, lines 45-50).

In addition, Chen does not expressly teach, but Uhler teaches a destination web page.

For example, Uhler discloses the Request object 104 contains all of the information that pertains

Art Unit: 2176

to client's URL request as well as methods that encapsulate the HTTP protocol (Uhler col. 7, lines 20-35).

It would have been obvious to a person of ordinary skill in the art at the time the invention was made to have modified Chen's parsing the incoming XML data element based on delimiters to determine the source web page, to include data to be received by the destination web, to include a destination web page as taught by Uhler. One of the ordinary skill in the art would have been motivated to modify this combination, because Chen and Uhler are from the same field of endeavor of providing an architecture for creating extensible and scalable web applications, and provides a server object handler uses a set of HTML/XML templates to process content and the final filter performing the XML to HTML conversion, which is consistent with the ultimate consumer of the content, and deliver to the requestor (Uhler col. 14, line 60 through col. 15, line 10).

Regarding claim 6, Chen teaches console engine concatenates the data from the message with the template to create a modified XML data element that is displayed the web browser. Specifically, Chen discloses a first parser for receiving a message from a browser (Chen col. 1, lines 35-50). Also, Chen discloses a standard XML parser 305 takes the input XML 125 and DTD 115, and generates an intermediate structure, a tree 355 or an array 355', which serves as part of the input data to a merge algorithm 335. The XML parser 305 may be a client side application, which may serialize tree elements into an array of hypertext markup language (HTML) components 355', or a server side stand-alone application, which may construct the tree structure 355 (See FIGS. 10A and 10B). After parsing the return document DTD 135, the DTD parser 315 creates a template 365 in either array format 605 or tree structure 615, as shown in

Art Unit: 2176

entered therein. (Chen Col. 1, lines 45-50).

FIGS. 10A and 10B, respectively (Chen col. 6, lines 5-20 also Fig. 7). Also, Chen discloses a merging algorithm, which is implemented to merge the message with the return template for providing a return message to the browser having portions of the return template with data

Regarding claims 8-9, and 11-12, the rejection of claim 4 is fully incorporated. In addition, Chen does not expressly teach, but Uhler teaches converting the template after combining the plurality of parts for the web page with the template to form the web page into HTML so as to be displayed by the browser. For example, Uhler discloses the filter handler uses a set of HTML/XML templates to process content and the final filter performing the XML to HTML conversion, which is consistent with the ultimate consumer of the content, and deliver to the requestor (Uhler col. 14, line 60 through col. 15, line 10). Also, Uhler discloses Application Programming Interface (API) called a handler using a delegation based object model. The handlers that provide application functionality are resolved and loaded at run time. Mechanisms are provided for composing application modules, encouraging code reuse and design. Information specific to an entire application is gathered in one place, and made available to all of the handlers, simplifying server modification and configuration (Uhler col. 6, lines 1-10).

It would have been obvious to a person of ordinary skill in the art at the time the invention was made to have modified Chen's parsing the incoming XML data element based on delimiters to determine the source web page, to include a means of converting the template after combining the plurality of parts for the web page with the template to form the web page into HTML so as to be displayed by the browser as taught by Uhler. One of the ordinary skill

Art Unit: 2176

in the art would have been motivated to modify this combination, because Chen and Uhler are from the same field of endeavor of providing an architecture for creating extensible and scalable web applications, and provides a server object handler uses a set of HTML/XML templates to process content and the final filter performing the XML to HTML conversion, which is consistent with the ultimate consumer of the content, and deliver to the requestor (Uhler col. 14, line 60 through col. 15, line 10).

Regarding claims 14 and 17, Chen teaches incoming XML data element is a portion of a web page in which that data to be displayed is changing and said token is an existing web page. Specifically, Chen discloses a first parser for receiving a message from a browser (Chen col. 1, lines 35-50). Also, Chen discloses a standard XML parser 305 takes the input XML 125 and DTD 115, and generates an intermediate structure, a tree 355 or an array 355', which serves as part of the input data to a merge algorithm 335. The XML parser 305 may be a client side application, which may serialize tree elements into an array of hypertext markup language (HTML) components 355', or a server side stand-alone application, which may construct the tree structure 355 (See FIGS. 10A and 10B). After parsing the return document DTD 135, the DTD parser 315 creates a template 365 in either array format 605 or tree structure 615, as shown in FIGS. 10A and 10B, respectively (Chen col. 6, lines 5-20 also Fig. 7).

Regarding claims 15 and 18, Chen teaches wherein said modified XML data element is the web page to be displayed. Specifically, Chen discloses after parsing the return document DTD 135, the DTD parser 315 creates a template 365 in either array format 605 or tree structure 615, as shown in FIGS. 10A and 10B, respectively (Chen col. 6, lines 5-20 also Fig. 7). Also, Chen discloses a merging algorithm, which is implemented to merge the message with the return

Art Unit: 2176

template for providing a return message to the browser having portions of the return template with data entered therein. (Chen Col. 1, lines 45-50).

Conclusion

6). Any inquiry concerning this communication or earlier communications from the examiner should be directed to Quoc A. Tran whose telephone number is 571-272-8664. The

examiner can normally be reached on 9AM - 5PM EST.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's

supervisor, Herndon R. Heather can be reached on 571-272-4136. The fax phone number for the

organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent

Application Information Retrieval (PAIR) system. Status information for published applications

may be obtained from either Private PAIR or Public PAIR. Status information for unpublished

applications is available through Private PAIR only. For more information about the PAIR

system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR

system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Quoc A. Tran February 28, 2007 Heather R. Herndon
Supervisory Patent Examiner
Technology Center 2100